

DT171-11687PTO 30 JAN 2003 *PKT*

TRANSMITTAL LETTER			Case No. 9683/69
Serial No. 600,429	Filing Date July 12, 2000	Examiner Unassigned	Group Art Unit Unknown
Inventor(s) Shigetaka Kurita et al.			
Title of Invention COMMUNICATION CONTROL METHOD, COMMUNICATION METHOD, SERVER, APPARATUS, TERMINAL DEVICE, RELAY APPARATUS AND COMMUNICATION SYSTEM			

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Information Disclosure Statement, Form PTO-1449; 5 Prior Art References; a copy of the European Search Report (EPO Form 1507.4(03.98)); and return post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

**RECEIVED**

FEB 19 2003

Technology Center 2100

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9 =	
x 42 =	
+ \$140 =	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	
x \$84 =	
+ \$280 =	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Tadashi Horie  
Registration No. 40,437  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 1/27/2003.

Date: 1/27/2003

Signature:



I hereby certify that this correspondence is being deposited with the United States Postal Service, as first class mail in an envelope, with sufficient postage, addressed to:  
Commissioner for Patents, Washington, D.C. 20231, on

Date of Deposit

Tadashi Horie, Reg. No. 40,437  
Name of Applicant, Assignee or  
Registered Representative

*Tamara Harris*  
Signature

1/27/2003  
Date of Signature

RECEIVED

FEB 19 2003

## Technology Center 2100

Our Case No. 9683/69

In re Application of:

Shigetaka Kurita et al.

Serial No. 09/600,429

Filing Date: July 12, 2000

For COMMUNICATION CONTROL  
METHOD, COMMUNICATION  
METHOD, SERVER, APPARATUS,  
TERMINAL DEVICE, RELAY  
APPARATUS AND COMMUNICATION  
SYSTEM

Examiner: Unassigned

Group Art Unit No.: Unknown

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to the obligation under 37 C.F.R. 1.56 and in conformance with 37 C.F.R. 1.97-1.99, Applicants hereby submit references listed below and as A1-A5 on the attached form PTO- 1449 for consideration by the Examiner.

determined to be prior art, anticipates Applicants' invention or that these references make Applicants' invention obvious.

No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C. F. R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925.

Applicants respectfully request that the Examiner review the entire disclosure of these documents and make them of record.

Respectfully Submitted,



Tadashi Horie  
Registration No. 40,437  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
Tel. (312) 321-4200

Dated: 1/27/2003

UNITED STATES PATENT DOCUMENTS

<u>PATENT NO.</u>	<u>DATE</u>	<u>INVENTOR</u>
5,727,159	03/10/1998	Kikinis

FOREIGN PATENT DOCUMENTS

<u>PATENT NO.</u>	<u>DATE</u>	<u>COUNTRY</u>
EP 0 828 367 A2	03/11/1998	EPO

**RECEIVED**

FEB 19 2003

Technology Center 2100

OTHER ART

- (1) "Wireless Application Protocol Wireless Transaction Protocol Specification", WAPFORUM, Online, April 30, 1998 pp. 1-71, URL:[http://www.wapforum.org/what/technical1\\_1\\_0.htm](http://www.wapforum.org/what/technical1_1_0.htm).
- (2) "Wireless Application Protocol Architecture Specification", WAP FORUM, Online, April 30 1998, pp. 1-20, URL:[http://www.wapforum.org/what/technical1\\_1\\_0.htm](http://www.wapforum.org/what/technical1_1_0.htm).
- (3) Heidemann J. et al.: "Modeling The Performance Of HTTP Over Several Transport Protocols", IEEE / ACM Transactions On Networking, IEEE Inc., New York, US, vol. 5, no. 5, October 1, 1997, pp. 616-630.

The above references were cited in the Supplementary European Search Report issued on December 3, 2002 to the corresponding EP Application No. EP 99 96 1370. Applicants are enclosing a copy of the Search Report.

The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. Section 1.56(b). Further, Applicants reserve the right to contest these references as prior art against the present application, and Applicants do not believe that the disclosure of these references, even if finally